

PTO-1449
FEB 03 2003
PATENT & TRADEMARK OFFICE

Sheet 1 of 7

FORM PTO-1449
(REV. 7-80)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.:
540.1004CON2

SERIAL NO.: 10/047,060

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANTS: Anand R. BAICHWAL, et al.

FILING DATE: January 14,
2002

GROUP: 1615

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AG							
	AH							
	AI							
	AJ							

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AK		Batra, et al., J. Pharm. Sci., vol. 83(5):632-635 (May, 1994)
AL		Suzuki, et al. J. Microencapsulation, vol. 11(2):197-203 (1994)
AM		
AN		
AO		
AP		

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*EXAMINER INITIAL		DOCUMENT NUMBER				DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE				
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	BC													
	BD													
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	BF													
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	BI													
	BJ													
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)														
	BK													
	BL													
	BM													
	BN													
	BO													
	BP													
EXAMINER							DATE CONSIDERED 2/28/03							
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<i>CA</i>	CA	2	5	8	7	2	1	5	2/26/52	Priestly			
	CB	3	9	3	8	5	1	6	2/17/76	Mathes			
	CC	3	9	6	4	4	8	3	6/22/76	Mathes			
	CD	3	9	7	3	5	6	6	8/10/76	Mathes			
	CE	4	0	1	3	0	7	5	3/22/77	Cocozza			
	CF	4	2	0	0	0	9	9	4/29/80	Guenzel, et al			
	CG	4	2	7	4	4	0	3	6/23/81	Struve			
	CH	4	5	2	4	7	6	9	6/25/85	Wetterlin			
	CI	4	7	4	1	8	7	2	5/3/88	DeLuca, et al			
	CJ	4	8	0	4	6	7	8	2/14/89	Augstein, et al			
	CK	4	8	6	0	7	4	0	8/29/89	Kirk, et al			

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		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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	CN	9	2	0	9	3	2	2	6/11/92	PCT				
	CO	9	3	0	0	0	7	6	1/7/93	PCT				
	CP	9	3	2	5	1	9	8	12/23/93	PCT				X

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CQ British Pharmacopoeia 1993 Vol. II. Appendix XVII C A194-6

CR 3M Delivery Volume 4, November 1994

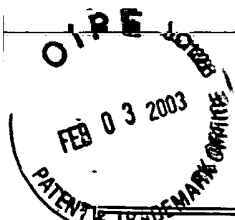
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
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<i>AR</i>	DG	0 6 0 6 4 8 6	7/20/94	EPO A1	A61K	9/48	
	DH	WO 95 0 0 1 2 7	1/5/95	PCT A1	A61K	9/72	
<i>MA</i>	DI	0 3 7 1 4 3 1	6/6/90	EPO A2	A61K	9/18	
	DJ	0 6 1 1 5 6 7	8/24/94	EPO A1	A61K	9/72	

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	DK	
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	ED	5	1	1	3	8	5	5	5/19/92	Newhouse			
	EE	5	1	2	8	1	4	3	7/7/92	Baichwal, et al.			
	EF	5	1	3	5	7	5	7	8/4/92	Baichwal, et al.			
	EG	5	1	6	0	7	4	5	11/3/92	DeLuca et al.			
	EH	5	1	7	6	1	3	2	1/5/93	Drought et al.			
	EI	4	5	9	0	2	0	6	5/20/86	Forrester et al.			
	EJ	4	7	4	3	4	4	0	5/10/88	Callingham et al.			
	EK	5	7	3	8	8	6	5	4/14/98	Baichwal, et al.			

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	EM	9	3	0	1	1	5	8	5/11/93	PCT/EP			X	
	EN	2	0	4	1	7	6	3	9/17/90	UK				
	EO	9	4	0	4	1	3	3	3/3/94	PCT				
	EP													

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<i>CA</i>	FA	5	0	5	9	5	8	7	10/22/91	Yamamoto et al.	514	12	Jul. 19, 1988	
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	FH													
	FI													
	FJ													
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)														
<i>CA</i>	FK	Abstract: Patent Abstracts of Japan, Publication No. 08198772 A, August 6, 1996.												
	FL													
	FM													
	FN													
	FO													
	FP													
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CA	GA	5	6	1	2	0	5	3	03/18/97	Baichwal, et al.	424	440	
CA	GB	6	3	8	7	3	9	4	05/14/02	Baichwal et al.	424	440	
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	GD												
	GE												
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		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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	GH	9	3	2	5	1	9	3	12/23/1993	WO	A61K	9/48		X
	GI													
	GJ													
	GK													
	GL													

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

GM	Abstract: Patent Abstracts of Japan, Publication No. 07048278 A, February 21, 1995
GN	
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